

PTO/SB/05 (2/98)

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Approved for use through 09/30/2000. OMB 0651-0032

Petent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. P01577US0 John Pepper, et a First Inventor or Application Identifier Invasive Intra Nail Insertion.

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Express Mail Label No. EE159324432US

Al	PPLICATION ELEMENTS			stant Commissioner for Patents Patent Application					
See MPEP che	pter 600 concerning utility patent application conti			hington, DC 20231					
' A (Su	be Transmittal Form (e.g., PTO/SB/17) bmit an original and a duplicate for fee processing	6. 7.		o Acid Sequence Submission					
	ecification [Total Pages 2	1 1	(if app <mark>licabl</mark> e, all necess	Mary)					
	escriptive title of the Invention		a: Computer	Readable Copy					
- C1	ross References to Related Applications		b. Paper Cop	y (identical to computer copy)					
	eternent Regarding Fed sponsored R & D eference to Microfiche Appendix		c. Statement	verifying identity of above copies					
	ackground of the Invention		ACCOMPANYING	C ARRICATION BARTS					
	rief Summary of the Invention	<u></u>	ACCOMPANTING	G APPLICATION PARTS					
	rief Description of the Drawings (if filed)	8.	Assignment Papers (cover sheet & document(s))						
	etailed Description	9.	9. 37 C.F.R.§3.73(b) Statement Power of Attorney						
- CI	laim(s)	10.							
AI	bstract of the Disclosure			on Document (if applicable)					
3. X Dra	3. X Drawing(s) (35 U.S.C. 113) [Total Sheets 13] Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations								
4. Oath or D	4. Oath or Declaration [Total Pages 34] Preliminary Amendment								
a .	Newly executed (original or copy)	13.	Return Receipt Po	ostcard (MPEP 503) Scally Hamizadi					
.	Copy from a prior application (37 C.F.F	R. § 1.63(d))	* Small Entity	•					
▎	(for continuation/divisional with Box 17 comp [Note Box 5 below]	14.	Statement(s)	Statement filed in prior application Status still proper and desired					
1	DELETION OF INVENTOR(S)		(PTO/SB/09-12)	Priority Document(s)					
	Signed statement attached de inventor(s) named in the prior at		(if foreign priority i	•					
l	see 37 C.F.R. §§ 1.63(d)(2) and		Other:						
	poration By Reference (useable If Box 4b is ci	hecked)							
•	entire disclosure of the prior application, fro		078 808 FT888 (A 14: 8) 08	DER TO BE ENTITLED TO PAY SMALL ENTIT					
	of the oath or declaration is supplied unde idered to be part of the disclosure of the ac	NO. TO, IS	is, a small entity statem	MENT IS REQUIRED (37 C.F.R. § 1.17), EXCEPT					
	cation and is hereby incorporated by refere		ME FILED IN A PHOOR APPLIC	CATION IS RELIED UPON (37 C.F.R. § 1.29).					
	NTINUING APPLICATION, check appropriate		requisite information below	w and in a preliminary amendment:					
	ontinuation Divisional Continuation	on-in-part (CIP)	of prior application No:	:					
<u> </u>	edication information: Eventual		Group / Art (
Prior ep	plication information: Examiner	CONDENCE	ADDECO	One					
	10. CURRE	SPUNDENCE.							
I —				Company address balance					
	er Number or Ber Code Label	No or Attach her		Correspondence address below					
	Jan K. Simpson								
Name									
	Fulbright & Jaworski LLP								
Address	1301 McKinney, Suite 5100								
City	Houston	State Te	exas Zp	77010-3095					
Country	Harris Telep	none 71.	3-651-5383	Fex 713-651-5246					
Mary 6			Backtodia No. 145	22 222					
resine (r	Jan K. Simpson		Registration No. (Allomeyd	33/203					
Signature	lank lines	-		Date 6/30/98					

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HOUSTON
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DALLAS
NEW YORK
LOS ANGELES
LONDON
HONG KONG

June 30, 1998

Re:

Inventor(s):

John Pepper, et al.

Docket:

PO1577USO

For:

Minimally Invasive Intramedullary Nail Insertion Instruments and Method

VIA EXPRESS MAIL NO. EE159324432US

Commissioner of Patents and Trademarks Washington, DC 20231

Dear Sir:

We enclose for herewith for filing in the United States Patent & Trademark Office the documents indicated below:

- 1. Utility patent application;
- 2. Thirteen (13) Sheets of Drawings;
- 3. Fee Transmittal;
- 4. Utility Patent Application Transmittal; and
- A pre-addressed return post card for the Patent & Trademark Office to acknowledge receipt of the above in the United States Patent & Trademark Office.

Please charge any fees which may be required to the deposit account of Fulbright & Jaworski L.L.P., Account No. 06-2375, under authority of 985240, from which the undersigned is authorized to draw. An additional copy of this letter is attached for accounting purposes.

Respectfully submitted,

Jan K. Simpson

JKS/bg Enclosures

CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10

I hereby certify that this utility patent application transmittal and the documents referred to as attached therein are being deposited with the United Sates Postal Service on this date June 30, 1998 in an envelope as "EXPRESS MAIL, POST OFFICE TO ADDRESSEE", mailing label number EE159324432US addressed to the Commissioner of Patents and trademarks, Box FWC, Washington, D.C. 20231.

/: ____

Jan K. Simpson

FULBRIGHT & JAWORSKI L.L.P. 1301 McKinney, Suite 5100 Houston, Texas 77010-3095 (713) 651-5151 (713) 651-5246 FAX Approved for use through 9/30/2000. OMB 0651-0032
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	Complete If Known			
FEE TRANSMITTAL	Application Number			
	Filing Date	June 30, 1998		
Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997.	First Named Inventor	John Pepper, et al.		
Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.	Examiner Name			
See 37 C.F.R. §§ 1.27 and 1.28.	Group / Art Unit			
TOTAL AMOUNT OF PAYMENT (\$) 1, 142.00	Attorney Docket No.	PO1577IISO		

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)						
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	3. ADDITIONAL Large Entity Small Fee Fee Fee Code (\$) Code	il Entity Fee Fee Description	Fee Paid				
Deposit Account 06-2375 Under 985455	106 130 205	65 Surcharge - late filing fee or cath					
Number Deposit Account Name Fulbright & Jaworski	127 50 227	25 Surcharge - late provisional filing fee or cover sheet.					
Charge Any Additional Charge the Issue Fee Set in S7 C.F.R. \$ 1.18 at the Mailing	139 130 139	130 Non-English specification					
Fee Required Under 37 C.F.R. § 1.18 at the Mailing 38 C.F.R. §	147 2,520 147	2,520 For filing a request for reexamination					
	112 920° 112	920° Requesting publication of SIR prior to Examiner action					
2. Payment Enclosed: Check Order Other	113 1,840* 113	1,840* Requesting publication of SIR after Examiner action					
	115 110 215	· · · · · · · · · · · · · · · · · · ·					
FEE CALCULATION	116 400 216						
1. BASIC FILING FEE	117 950 217	475 Extension for reply within third month					
Large Entity Small Entity	118 1,510 218	755 Extension for reply within fourth month					
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	128 2,080 228 1	,030 Extension for reply within fifth month					
101 790 201 395 Utility filing fee 790.00	119 310 219	155 Notice of Appeal					
106 330 206 165 Design filing fee	120 310 220	,					
107 540 207 270 Plant filing fee	121 270 221						
108 790 208 395 Release filing fee	138 1,510 138 1						
114 150 214 75 Provisional filing fee	140 110 240						
SUBTOTAL (1) (\$)	141 1,320 241						
2. EXTRA CLAIM FEES	142 1,320 242						
Extra Claims below Fee Paid	143 450 243	1					
Total Claims 2 () -20** - X	144 670 244						
Independent 4 - 3 - = X = X	122 130 122						
Multiple Dependent	123 50 123	50 Petitions related to provisional applications					
**or number previously paid, If greater, For Reissues, see below Large Entity Small Entity		240 Submission of Information Disclosure Strnt					
Fee Fee Fee Fee Description Code (\$) Code (\$)	581 40 581	40 Recording each patent assignment per property (times number of properties)					
103 22 203 11 Claims in excess of 20	146 790 246	S95 Filing a submission after final rejection (37 CFR 1.129(a))					
102 82 202 41 Independent claims in excess of 3	149 790 249	4					
104 270 204 135 Multiple dependent claim, if not paid		examined (37 CFR 1.129(b))					
109 82 209 41 ** Reissue independent claims over original patent	Other fee (specify)						
110 22 210 11 ** Reissue claims in excess of 20 and over original patent	Other fee (specify)	1					
SUBTOTAL (2) (\$) 142.00 * Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)							
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SUBMITTED BY			Complete (1	Complete (if applicable)	
Typed or Printed Name	Jan K. Simpson		Reg. Number	33,283	
Signature	Jank. Sing	Date 6/34/98	Deposit Account User ID		

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